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File No. JB01603-K2-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Altmann et al.

Serial No.: 10/663,208

Group Art Unit: TBA

Filed: September 16, 2003

Examiner: TBA

For: NPC1L1 (NPC3) AND METHODS OF USE THEREOF

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This statement is made in compliance with 37 C.F.R. §1.56, 1.97 and 1.98. The Examiner's attention is directed to the documents listed on the accompanying form PTO-1449 and the enclosed copy of each listed document. Consideration of each cited document, and the return of an initialed, duplicate copy of the enclosed form PTO-1449 to indicate such consideration, are respectfully requested.

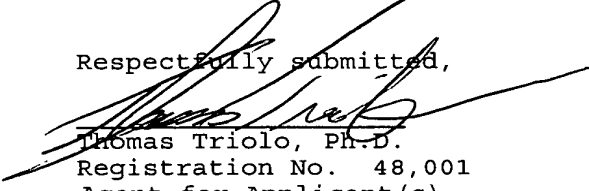
These documents may be relevant to examination of the application. Inclusion of a document is **not** an admission that the document is prior art with respect to the invention of the application and is **not** to be construed as an admission that anything in the document renders any claim of the application unpatentable.

Under 37 C.F.R. § 1.97(d), copies of the patent applications and publications cited in the accompanying PTO-1449 form are **not** provided because copies were provided in the parent of this application, U.S. Patent Application No. 10/621,758; filed July 17, 2003.

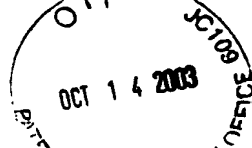
This statement is submitted before a first office action on the merits of the Application; accordingly, Applicants believe that no fee is required. If, however, any fees are due, the Office may charge such fees to Deposit Account No. 19-0365.

Date: OCT. 10, 2003

Respectfully submitted,


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| FORM PTO-1449 | | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE | | | ATTY. DOCKET NO.: JB01603-K2-US | SERIAL NO.: 10/663,208 | |
| INFORMATION DISCLOSURE STATEMENT FOR PATENT <i>(Use several sheets if necessary)</i> | | | | | APPLICANT: Altmann <i>et al.</i> | | |
| | | | | | FILING DATE: September 16, 2003 | | GROUP: TO BE ASSIGNED |
| U.S. PATENT DOCUMENTS | | | | | | | |
| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB- CLASS | FILING DATE IF APPROPRIATE |
| | AA | | | | | | |
| | AB | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB- CLASS | TRANSLATION YES NO |
| | AC | WO 01/70974 | Sept. 27, 2001 | WIPO | C12N | 15/12 | Abstr. <input type="checkbox"/> |
| | AD | WO 01/57190 | Aug. 9, 2001 | WIPO | C12N | | <input type="checkbox"/> |
| | AE | | | | | | <input type="checkbox"/> |
| | AF | | | | | | <input type="checkbox"/> |
| OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | | |
| | AG | Minhas, "Current Progress in Lipid Therapy" Br. J. Cardiology 10(1): 59-68 (2003) | | | | | |
| | AH | Abstracts 1-79 from "The International Conference on Niemann-Pick Type C Disease"; May 29-31, 2003. | | | | | |
| | AI | Carstea <i>et al.</i> , "Niemann-Pick C1 disease gene: homology to mediators of cholesterol homeostasis" Science 277:228-231 (1997). | | | | | |
| | AJ | Polypeptide Sequence ABG22693 disclosed in WO 01/75067 A2 | | | | | |
| | AK | Genbank Sequence Disclosure; Accession No. AF192522. | | | | | |
| | AL | Genbank Sequence Disclosure; Accession No. AF002020. | | | | | |
| | AM | Davies <i>et al.</i> , "Evidence for a Niemann-pick C (NPC) gene family: identification and characterization of NPC1L1" Genomics 65(2): 137-145 (2000). | | | | | |
| | AN | Ioannou <i>et al.</i> , "The structure and function of the Niemann-Pick C1 protein." Mol. Genet. Metab. 71(1-2): 175-181 (2000). | | | | | |
| | AO | Genbank Sequence Disclosure, Accession No. AK078947. | | | | | |
| | AP | Altmann <i>et al.</i> , "The identification of intestinal scavenger receptor class B, type I (SR-BI) by expression cloning and its role in cholesterol absorption." Biochim. Biophys. ACTA 1580: 77-93 (2002). | | | | | |
| | AQ | DeNinno <i>et al.</i> , "Steroidal glycoside cholesterol absorption inhibitors." J. Med. Chem. 40(16):2547-2554 (1997). | | | | | |
| EXAMINER | | | | | DATE CONSIDERED | | |
| <p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609, Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> | | | | | | | |